

REMARKS:

The specification and claims of the referenced application have been amended in accordance with common U.S. Patent Practice and to remove the multiple dependencies of claims 4, 6-9. New Claim 10 was added. No new matter has been introduced through the foregoing amendments. Entry is in order.

To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 07-1337 and please credit any excess fees to such deposit account.

Respectfully submitted,

LOWE HAUPTMAN & BERNER, LLP



Kenneth M. Berner
Registration No. 37,093

1700 Diagonal Road, Suite 310
Alexandria, Virginia 22314
(703) 684-1111 KMB/iyr
Facsimile: (703) 518-5499
Date: November 4, 2005

LOW-SPURIOUS-RADIATION MICROWAVE TUBE

Field of the invention :

The invention relates to microwave tubes, especially
5 klystrons or TWTs (traveling wave tubes).

Background of the invention :

Figure 1 is a simplified diagram of a microwave
electron tube comprising essentially three main
10 subassemblies, namely an electron gun 12, a microwave
structure 14 and a collector 16.

The electron gun 12 comprises a cathode 18 that
generates an electron beam 20 in the microwave
15 structure 14, where the electron beam 20 interacts with
an electromagnetic wave created in the microwave
structure. More precisely, the electron beam gives up
some of its energy to the electromagnetic wave.

20 The collector 16 thermally dissipates the kinetic
energy of the electrons of the beam 20 that remain
after interaction with the electromagnetic wave.

25 The electrons emitted by the cathode are accelerated by
a voltage V_0 applied between the cathode and the anode
of the tube and are characterized in a current I_0 .

30 The microwave structure is composed of resonant
cavities and drift tubes in the case of klystrons, and
of a helix or coupled cavities in the case of a TWT.

35 The microwave structure of the TWT includes an input
window 22 on the side facing the gun of the tube, in
order to inject the power P_e to be amplified in the
structure, and an output window 24, on the side facing
the collector, for extracting the amplified output
power P_s .

The gain $G = 10\log_{10} (P_s/P_e)$ is around 40 to 50 dB and

the interaction efficiency $\eta_i = Ps/V_0 I_0$, which is generally between 30 and 60%. These input and output windows are dielectric members, usually made of alumina, which transmit, almost without loss, in the 5 operating frequency band of the tube, the input microwave power P_e , into the structure, and the output power P_s , to the outside of the structure, depending on the case, while isolating the inside of the tube, which is under vacuum (residual pressure $\leq 10^{-7}$ torr), from 10 the external atmosphere.

Another likewise essential subassembly of the tube is a magnetic circuit 40 (see figure 1) that surrounds the microwave structure 14, comprising an electromagnet or 15 permanent magnets associated with pole pieces for conducting the magnetic flux into the electron beam 20 which is thus focused, that is to say maintained at a small and approximately constant diameter. This magnetic circuit is external to the vacuum chamber of 20 the tube, except sometimes for certain pole pieces.

An ion pump 42, indicated in figure 1, is used to maintain the vacuum inside the tube - this pump is not always necessary.

25 The collector 16 is a hollow cylinder, as indicated in figure 1. The electrons from the beam bombard the internal walls 44 of the collector 16, which heat up. The heat is then extracted via the outer walls of the 30 collector, which are cooled, depending on the power densities in question, by forced air, by water circulation or by radiation.

The collector is at the potential of the body of the 35 structure 14 of the tube, that is to say at ground potential, the cathode being at potential $-V_0$.

The collector 16 may be directly attached to the body 14, as indicated in figure 1. The collector may also be

electrically isolated from the body, but connected to the latter via an external electrical connection.

Figure 2 shows a partial view of a TWT comprising a
5 microwave structure 50 having coupled cavities 52 and a collector 58 attached to the microwave structure 50 and electrically isolated from the body of the tube, and especially from an upper pole piece 60, via an annular insulator 62. The electron beam 20 output by the
10 microwave structure penetrates the collector 58 via an aperture 64. Electrons following various paths 66 are collected by the internal walls 68 of the collector.

It is often necessary to separately measure the current
15 I_b of the electrons that are intercepted by the microwave structure and the current I_{coll} of the electrons that reach the collector. These two currents have very different amplitudes, often with an I_b/I_{coll} ratio of a few %, or even 1% or less.

20 To do this, the collector is isolated from the body by the insulator 62, for example made of a ceramic, often alumina (see figure 2). Figures 3a and 3b show schematically the electrical connections of the various
25 elements of the tube of figure 1 to the power supply AL 70. It is the body of the tube which in general is connected directly to ground G, for practical reasons, as it is of course connected to the external installation via the input and output waveguides, often
30 via the armature of the electromagnet, and sometimes via the systems for tuning the cavities, thermal probes. The hydraulic connections for the collector, when they exist, must therefore be sufficiently insulated to force the current I_{coll} not to follow them
35 as return path, via ground, back to the + pole of the power supply.

The collector is isolated from the body by an annular ceramic piece 62 (figure 2), or in general by any other

insulator, which fulfils several important roles:

- electrical isolation between body (or pole piece) 60 and collector 58;
- sealing and maintaining the vacuum inside the 5 tube;
- mechanical strength, in order to keep the collector firmly in place on the body, despite certain vibration that occasionally arises from the cooling system and despite the knocks that it may receive when 10 being transported and installed.

However, this body 60/collector 58 isolation appears, from the microwave viewpoint, as a true radial line, itself composed of several lines of different 15 impedances Z_1, Z_2, \dots, Z_i in series.

Figure 4 shows a detailed view of the space W_g for coupling between a body 80 and the collector 82 of a microwave tube. This space is shown as a series of 20 lines of impedances Z_1, Z_2, Z_3 in series between the inside and the outside of the tube. The value of these impedances is related to the geometrical characteristics ($h, d, \text{etc.}$) of the lines and to the presence or absence of a ceramic insulator (ϵ_0, σ). The 25 reader may refer to the work "Fields and waves in communication electronics" by Ramo, Whinnery *et al.* (published by John Wiley & Sons).

It follows that if electromagnetic energy is present at 30 the input E_{coll} of the collector, it may be coupled to this radial waveguide and can radiate (P_r) to the outside.

The presence of electromagnetic energy at the input of 35 the collector may be due to leaks from the output cavity (or from the helix), or else the drift tube connecting it to the collector, i.e. to the cutoff at the operating frequency F and generally at $2F$. However, this tube is often too short, therefore allowing

evanescent mode transmission.

This electromagnetic energy may also arise from one of the many resonances of the collector that are excited
5 to F, 2F, etc. by the electron beam, again slightly modulated.

In other words, the radial waveguide can present to the electron beam an impedance Z_{ed} sufficient for the beam,
10 again slightly modulated, to give up thereto microwave energy at a low but not insignificant level, which is then radiated to the outside via the radial waveguide between body and collector.

15 Now, the specifications often impose a very low level of microwave loss, for example $P_r < 0.1 \text{ mW/cm}^2$ at 10 cm over the entire external surface of the tube.

Summary of the invention :

20 The problem is therefore to minimize the spurious radiated power P_r coming from the input of the collector via the body/collector isolation, which can be likened to a radial waveguide.

25 To attenuate the spurious radiation from microwave tubes of the prior art, the invention proposes a microwave tube comprising an electron gun generating an electron beam in a cylindrical microwave structure of the tube, the microwave structure delivering a
30 microwave at one output, a collector for collecting electrons from the beam comprising at least one electrode that is mechanically coupled to the microwave structure via a dielectric, the mechanical coupling forming a radial waveguide for propagating spurious
35 microwave radiation from the tube, characterized in that, in order to attenuate the spurious radiation from the tube, the radial waveguide includes at least one quarter-wave microwave trap having, at least at the operating frequency F of the tube, an open circuit for

the microwave propagating in said radial waveguide for propagating spurious radiation.

The idea is to employ " $\lambda/4$ traps" at the radial
5 waveguide appearing in the mechanical coupling between the body of the tube containing the microwave structure and the collector. These waveguides are those used, for example, on the coupling flanges of waveguides or those used for mounting antennas or crystal detectors.

10 In a first embodiment of the microwave tube according to the invention, the radial waveguide includes a microwave trap at the operating frequency F of the tube, having a cylindrical slot collinear with the axis of revolution ZZ' of the tube and emerging in said
15 radial waveguide for coupling the body to the collector of the tube.

20 In an alternative form of this first embodiment of the microwave tube according to the invention, the radial waveguide includes another microwave trap at a frequency $2F$, having another cylindrical slot collinear with the axis of revolution ZZ' of the tube and emerging in said radial waveguide for coupling the body
25 to the collector of the tube.

Another type of collector exists that is not only isolated from the body but also composed of several electrodes, each being at an intermediate potential.
30 between $-V_0$ and ground. The potentials are therefore chosen so that the electrons are decelerated before their impact on the internal walls and thus the dissipated thermal power is as low as possible. After interaction, the dispersion in the velocities at the
35 input of the collector is large - it is for this reason that several electrodes are used, each slowing down the electrons occupying such or such part of the velocity spectrum. This technique involving what are called "depressed collectors", is most particularly applied to

TWTs that are cooled by air or by radiation. It allows the efficiency to be appreciably increased by reducing the dissipated power, equal to $V_0 I_0$ with no depressed collector, as we saw above.

5

The proposed invention applies to all types of collector, in particular between the various electrodes of "depressed"-type collectors, comprising several mechanically coupled electrodes, each coupling between 10 two consecutive electrodes forming a radial waveguide for propagating spurious microwave radiation (P_r) from the tube, apart from the microwave trap between the body and a first electrode, and, in order to attenuate the spurious radiation from the tube, the radial 15 waveguide between two consecutive electrodes includes at least one quarter-wave microwave trap having, at least at the operating frequency F of the tube, an open circuit for the microwave propagating in said radial waveguide for propagating spurious radiation. However, 20 the presentation that follows will refer to a "non-depressed" collector, that is to say a standard collector, for the sake of simplifying the description.

Brief description of drawings :

25 The invention will be more clearly understood from the exemplary embodiments according to the invention, with reference to the appended drawings in which:

- figure 1, already described, shows a simplified diagram of a microwave electron tube;
- 30 - figure 2, already described, shows a partial view of a TWT;
- figures 3a and 3b, already described, show the connections to the power supply of the various elements of the tube of figure 1;
- 35 - figure 4, already described, shows a detailed view of the coupling zone of a microwave tube;
- figure 5a shows a simplified partial view, in cross section, of the coupling zone between a body and a collector of a microwave tube;

- figure 5b shows a first embodiment of the microwave trap of a microwave tube according to the invention;

5 - figure 5c shows an alternative embodiment of the microwave tube according to the invention;

- figure 5d shows another alternative embodiment of the microwave tube according to the invention;

10 - figures 6 and 7 show respectively partial views of the coupling zone between the body and the collector of a tube of the prior art without a trap, and of a tube with a trap according to the invention;

15 - figure 8a shows a rig for measuring the spurious power radiated in the coupling zone between the body and the collector of a tube according to the invention;

- figure 8b shows a first measurement in the case of a collector having two slots; and

- figure 8c shows the same measurements but with a collector having only a single slot.

20

Detailed description of embodiments :

Figure 5a shows a simplified partial view, in cross section in a plane passing through the axis ZZ' of revolution of the microwave structure of the tube, of the coupling zone between a body 90 and a collector 92 of a microwave tube.

30 The collector 92 is mechanically coupled to the body of the tube containing the microwave structure via an insulator 94. The electron beam 20 output from the microwave structure penetrates, along the ZZ' axis, via an opening 95 into the collector and is then thermally dissipated by striking the internal walls 96 of the collector (see the lines el).

35

The space Wg between the body 90 and the collector 92 behaves, as mentioned above, as a microwave line or radial waveguide. This space is shown in figure 5a as a toroidal volume of very small thickness lying between a

face 100 of the body and a face 102 of the collector,
said faces being separated by the insulator 94.

Figure 5b shows a first embodiment of a microwave trap
5 of a microwave tube according to the invention.

These traps are machined or added to the base, or
better still machined in the base, of the cylinder of
the collector, the thickness of which, at this point,
10 is often sufficient to accommodate one or more coaxial
slots.

The collector 92 includes a circular slot 104 around
the ZZ' axis with a rectangular cross section and a
15 depth equal to $\lambda/4$, the slot emerging via one side in
the radial waveguide (the space Wg in figure 5a),
 $\lambda = c/F$ being the wavelength in the coaxial slot at the
operating frequency F of the tube, the slot being at a
20 distance d1 from the point where the radial waveguide
emerges on the same side as the internal opening 95 of
the collector 92, such that:

$$d1 = (\lambda g/4 + k\lambda g/2)$$

- λg being the wavelength in the radial waveguide
(the space Wg);
- 25 - k being zero or an integer; and
- c being the velocity of light in the medium in
question, here, a vacuum, so as to create an infinite
impedance in the slot 104, and therefore an abrupt
mismatch that reflects most of the microwave power
30 coming from the radial line at the frequency F.

The transmitted power, therefore the power Pr radiated
to the outside of the tube, through the insulator 94
then becomes very small.

35 The wavelength λg in the radial waveguide depends on
the portion in question of the waveguide, and in
particular on the radial distance r relative to the ZZ'
axis of the tube.

- However, we should point out that the widths of the waveguides shown respectively by the width E_d of the slot (the distance ab in figure 5b) and the thickness E_g of the radial waveguide (distance bc) are not infinitely small compared with the lengths of these same waveguides - the position of the "brought-back" open circuit (infinite impedance) is therefore poorly defined, and the electromagnetic waves can then partly circumvent the trap owing to the local presence of higher-order modes. Consequently, the widths E_d and E_g must be as small as possible in order to achieve the best possible blocking of the radiated spurious power.
- 15 The electron beam is modulated not only at the operating frequency F of the tube but also, to a lesser extent at $2F$ and beyond, it being understood that at $3F$, $4F$, etc., this modulation is quite negligible.
- 20 Figure 5c shows an alternative embodiment of the tube according to the invention. In this embodiment of the tube, the collector 92 includes a second slot 108, like the first slot 104, of circular shape around the zz' axis, having a rectangular cross section and a depth equal to $\lambda/8$, said second slot emerging in the same way alongside the slot in the radial waveguide (the space W_g of figure 5a), the second slot 108 being at a distance d_2 from the point where the radial waveguide emerges on the same side as the internal opening 95 of the collector 92 such that:
- $d_2 = (\lambda'g/4 + k'\lambda'g/2),$
- with k' being an integer and $\lambda'g$ being the wavelength in the radial waveguide (the space W_g) at the frequency $2F$ (see figure 5c).
- 35 Thus, any power at the frequency $2F$ will also be blocked and cannot be radiated to the outside of the tube.

It should be noted that the radial line between the open circuit at the slot 104 "bc" and its opening "de", at the input 95 of the collector 92, is the seat of stationary waves the intensity of which is higher the
5 closer the coupling impedance Z_{ed} between the body and the collector (see figure 5a) is to the internal impedance of the microwave generator, equivalent to the modulated beam at the input of the collector.

10 In other words, a voltage $V_{ed} = Z_{ed}MI_b(F)$, where:

M represents the beam/radial waveguide coupling;

$I_b(F)$ represents the component of the beam current at the frequency F; and

15 Z_{ed} represents the impedance at the input of the radial line,

is induced at the input of the radial line and because of the almost total reflection by the open circuit at "bc". This portion of the radial line is the seat of stationary waves.

20

At certain places, large fields may therefore appear, with the risk of a breakdown or a multifactor phenomenon, always very noisy.

25 Furthermore, the voltage V_{ed} may be such that it reflects electrons back toward the microwave structure, therefore producing spurious modulations and oscillations.

30 According to the invention, the solution giving rise to the embodiments described above is therefore that the waveguide has, at its input at "ed", a zero impedance or an impedance of very low value ($V_{ed} \approx 0$).

35 This justifies the value of the distance d_1 , already indicated above, between the first slot 104 of the trap and the input "e" of the waveguide at the opening 95 of the collector. This length d_1 or "ce" in figure 5b is such that the open circuit at the slot 104 at "cb" is

brought back to the input of the waveguide, at "de" as a short circuit.

It will be recalled that the length "ce" is therefore
5 equal to $\lambda g/4$ (or $\lambda g/4 + k\lambda g/2$, k being zero or an integer) with λg the wavelength in the radial waveguide, which varies with the radius r in question, i.e. $\lambda g(r)$. The analytical calculations of λg are very complex and the adjustments in length, and in general
10 the dimensions, of the trap are performed by experimental simulation and by computer.

Applying the same reasoning transposed to the frequency 2F, a second slot 108 will be placed at a point "c'" in
15 the waveguide, such that the distance "c'e" (i.e. d2 in figure 5c) between the position "c'" of the second slot 108 in the radial waveguide and the input "e" of the waveguide, is given by:

length $c'e = \lambda'g/4$, or $\lambda'g/4 + k'\lambda g/2$,
20 where k' is an integer and $\lambda'g$ is the wavelength in the radial waveguide at the frequency 2F.

To summarize, the base of the collector 92 is machined so as to create one or more "quarter-wave" traps or
25 slots which bring back imaginary open circuits across the radial waveguide formed by the body 90/collector 92 insulation. These imaginary open circuits prevent most of the power to pass from the inside of the tube to the outside, and therefore block any spurious radiation.

30 Furthermore, the positions of these traps are chosen so that the impedance brought back at "ed", at the input of the radial waveguide, is zero at the frequencies in question, generally the operating frequency F of the
35 tube, and 2F, (distance $ce = \lambda g/4$ or $\lambda g/4 + k\lambda g/2$, where k is an integer and λg is the wavelength of the radial waveguide at the frequency F, and likewise at 2F, where $\lambda'g$ is the wavelength in the radial waveguide at the frequency 2F).

Figures 6 and 7 show respectively partial views of the coupling zone between the body 110 and the collector 112 of a tube with no microwave traps and the same
5 coupling zone of the tube produced according to the invention, comprising two traps having two slots 114,
116 for the frequencies F and 2F respectively.

The length of the slots is $\lambda/4$, where $\lambda = c/F$, or else
10 $\lambda/8$, c being the velocity of light in the medium in question, that is to say that of the slot. This is generally a vacuum, but the slots may also be filled with a dielectric of low dielectric constant $\epsilon_r (>1)$. In this case, λ , and also the length of the slots, is
15 reduced in the ratio of the square root of ϵ_r relative to the case in which the slots are in a vacuum. It is therefore conceivable to reduce the length of the slots by a factor of about three if these slots are filled with alumina ($\epsilon_r = 9$).
20

Moreover, in another alternative embodiment of the microwave tube according to the invention, shown in figure 5d, the insulator 62 of figure 2 or the insulator 94 of figure 5b, that is to say the insulator
25 that connects the body to the collector (or connects two electrodes of an isolated collector), may be placed closer to the ZZ' axis in such a way that one or more slots are no longer in a vacuum, as in the case of figure 5b, but in air. However, since the dielectric
30 constant of air is virtually that of a vacuum, this arrangement changes nothing in the invention, but it is a technological variant thereof.

Figure 8a shows a rig for measuring the spurious power
35 radiated in the coupling zone between the body and the collector of a tube according to the invention. The rig comprises a body 120 and a collector 122 that are separated by an insulator 124. The collector has a first slot 126 for the operating frequency F of the

tube and a second slot 128 for the frequency $2F$, the slots being coaxial with the ZZ' axis of the tube.

In the measurement rig of figure 8a, the operating
5 frequency is $F = 4900$ MHz and the inside diameters of
the body 120 and the collector 122 have diameters D of
33 mm. The distance D_{CC} separating the body from the
collector is 5 mm.

10 The positions and dimensions of the slots are the
following:

first slot 116: diameter $D_1 = 105$ mm
depth $P_1 = 15.3$ mm

second slot 116: diameter $D_2 = 63.7$ mm
15 depth $P_2 = 7.65$ mm

D1 and D2 about the ZZ' axis.

A microwave signal P_e is injected via an emitter 130
along the ZZ' axis of the tube into the body/collector
20 coupling zone, and a probe 132 is placed outside the
tube in the coupling zone in order to measure the
radiated spurious power P_r .

Figures 8b and 8c show curves of the attenuation Att as
25 a function of the measurement frequency F_m between the
injected signal U_e , injected by the emitter into the
measurement rig of figure 8a, and the spurious signal
 P_r radiated by the tube and detected by a probe 132,
i.e. $Att = P_r/P_e$.

30 Figure 8b shows a first curve in the case of a tube
having a collector with two slots 126, 128, one for the
frequency F and the other for the frequency $2F$. It
should be noted that the attenuation between the power
35 injected by the emitter 130 and the spurious power
detected by the probe 132 is about:

-35 dB at the frequency F ; and
-25 dB at the frequency $2F$.

Figure 8c shows the same measurements with the same tube of figure 8a—~~tube~~, the collector having a single slot 126 for trapping the frequency F.

- 5 Note again there is an attenuation of about -35 dB at the frequency F, but no attenuation at the frequency 2F.

The invention, apart from the substantial attenuation
10 of the spurious radiation, has the advantage that the collector is easily disconnected from the body of the tube, something which is not the case in the embodiments of the tubes of the prior art using insulating resins to mechanically fasten the collector
15 to the body of the tube at the output of the microwave structure.

ABSTRACT

LOW-SPURIOUS-RADIATION MICROWAVE TUBE

The invention relates to a microwave tube comprising an electron gun [[(12)]] generating an electron beam [[(20)]] in a cylindrical microwave structure +14, 50 of the tube. The microwave structure delivers a microwave at one output. A collector +16, 58, 82, 92 for collecting electrons from the beam comprising at least one electrode that is mechanically coupled to the microwave structure via a dielectric +62, 94, the mechanical coupling forming a radial waveguide for propagating spurious microwave radiation (Pr) from the tube. In order to attenuate the spurious radiation from the tube, the radial waveguide (Wg) includes at least one quarter-wave microwave trap having, at least at the operating frequency F of the tube, an open circuit for the microwave propagating in said radial waveguide for propagating spurious radiation.

Applications: microwave tubes, especially klystrons, TWTs, etc.

Figure 5e